

# Executive Branch Personnel

## Public Financial Disclosure Report (OGE Form 278e)

### Filer's Information

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**Kranbuhl, Michael**

Deputy Assistant Secretary, Department of the Treasury

Date of Appointment:

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

**/s/ Kranbuhl, Michael [electronically signed on 05/22/2017 by Kranbuhl, Michael in Integrity.gov]**

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

**/s/ Horton, Elizabeth A, Certifying Official [electronically signed on 08/25/2017 by Horton, Elizabeth A in Integrity.gov]**

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Other review conducted by

**/s/ Veras, Hanoi, Ethics Official [electronically signed on 06/29/2017 by Veras, Hanoi in Integrity.gov]**

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U.S. Office of Government Ethics Certification

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Data Revised 06/29/2017

Data Revised 06/02/2017

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Gladstone Management Corporation	McLean, Virginia	Corporation	Director - Private Equity	3/2005	2/2016
2	Gladstone Management Corporation	McLean, Virginia	Corporation	Consultant	2/2016	9/2016
3	Behrens Manufacturing LLC	Winona, Minnesota	Corporation	Consultant	10/2016	12/2016
4	Galaxy Technologies	Winfield, Kansas	Corporation	Consultant	7/2016	11/2016
5	National Child Research Center	Washington, District of Columbia	Non-Profit	Trustee	7/2012	6/2015
6	Weichert-Kranbuhl Family Foundation	Cincinnati, Ohio	Non-Profit	Treasurer	12/1998	Present
7	Behrens Manufacturing Inc	Winona, Minnesota	Corporation	Director	12/2013	2/2016
8	Cavert II Holding Corporation	Rural Hall, North Carolina	Corporation	Director	7/2009	9/2015
9	Family Trust - 2006 TPCT	Cincinnati, Ohio	Trust	Trustee	11/2010	Present
10	Family Trust - KAK FRT	Cincinnati, Ohio	Trust	Trustee	10/2011	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Gladstone Management Corporation, anticipated bonus	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Gladstone Management Corporation	N/A		Salary/Bonus	\$515,400

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Gladstone Management Corporation	N/A		Severance	\$80,500
4	Gladstone Management Corporation (Management Company for a group of Publicly Traded Investment Vehicles)	N/A		Consulting Fees	\$31,034
5	Behrens Manufacturing LLC (Manufacturer of metal containers for Consumer and Industrial use)	N/A		Consulting Fees	\$16,200
6	Galaxy Technologies (Designer and Manufacturer of Industrial Tooling and Molds)	N/A		Consulting Fees	\$21,066
7	MKK IRA	No			
7.1	BlackRock Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.2	Broadridge Financial Solutions, Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3	Honeywell Intl Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.4	Johnson & Johnson	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8	MKK 401K	No			
8.1	Vanguard 500 Index Admiral Shares	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Gladstone Management Corporation	McLean, Virginia	I am owed a payout from the Carried Interest Programs of Gladstone Capital Corporation and Gladstone Investment Corporation. These payouts are associated with work performed related to Gladstone Investment Corporation and Gladstone Capital Corporation's former investment (sold in December 2016) in Behrens Acquisition Inc. Payments are typically made in September of a calendar year.	3/2011
2	Gladstone Holding Corporation	McLean, Virginia	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	3/2005

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

#	SOURCE NAME	CITY, STATE	BRIEF DESCRIPTION OF DUTIES
1	Gladstone Management Corporation	McLean, Virginia	Worked in the private equity fund focused on leading control equity and debt investments in leveraged buyout transactions of small to mid-sized businesses. These companies were primarily industrial, manufacturing, and services businesses.
2	Gladstone Management Corporation	McLean, Virginia	Consulting services.
3	Behrens Manufacturing LLC	Winona, Minnesota	Consulting services.
4	Galaxy Technologies	Winfield, Kansas	Consulting services.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Stryker Sales Corporation	N/A		salary, bonus	

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	Stryker Corporation, restricted stock units	N/A	\$250,001 - \$500,000		None (or less than \$201)
3	Stryker Corporation, stock options	N/A	\$1,000,001 - \$5,000,000		None (or less than \$201)
4	IRA - PCK	No			
4.1	BlackRock Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.2	Broadridge Financial Solutions, Inc.	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.3	Honeywell Intl Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.4	Johnson & Johnson	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5	401-K PCK	No			
5.1	Vanguard Institutional Index Fund Institutional Plus Shares	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
5.2	Stryker Corporation Stock Fund, holds Stryker Corporation stock	No	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
6	401-K PCK Supplemental	No			
6.1	Vanguard Institutional Index Fund Institutional Plus Shares	Yes	\$1,001 - \$15,000		\$201 - \$1,000

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2	U.S. bank account #2 (cash)	N/A	\$100,001 - \$250,000		None (or less than \$201)
3	U.S. bank account #3 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	MKK Living Trust	No			
4.1	Apple Inc. - Common Stock	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
4.2	AbbVie Inc.	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.3	Abbott Laboratories	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.4	Accenture plc	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.5	Cincinnati Financial Corporation - Common Stock	N/A	\$250,001 - \$500,000	Dividends	\$15,001 - \$50,000
4.6	Clorox Co	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
4.7	Donaldson Company	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
4.8	Walt Disney Co	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.9	Fiserv, Inc. - Common Stock	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.10	General Electric Co	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.11	Intel Corporation - Common Stock	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
4.12	Illinois Tool Works Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.13	Johnson & Johnson	N/A	\$100,001 - \$250,000	Dividends	\$5,001 - \$15,000
4.14	Coca-Cola Enterprises	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
4.15	Kroger Co	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.16	McDonald's Corporation	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.17	Microsoft Corporation - Common Stock	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.18	NextEra Energy Inc	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.19	Procter & Gamble	N/A	\$500,001 - \$1,000,000	Dividends	\$15,001 - \$50,000
4.20	Philip Morris International	N/A	\$50,001 - \$100,000	Dividends	\$5,001 - \$15,000
4.21	Praxair Inc	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
4.22	RPM International	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.23	Scripps Networks Interactive	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
4.24	Stryker Corp	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
4.25	Teleflex Incorporated	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
4.26	U.S. Bancorp	N/A	\$250,001 - \$500,000	Dividends	\$5,001 - \$15,000



#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.27	Texas Instruments Inc	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.28	Wells Fargo & Co	N/A	\$50,001 - \$100,000	Dividends	\$2,501 - \$5,000
4.29	Xilinx, Inc. - Common Stock	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
4.30	U.S. bank account #4 (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5	PCK Living Trust	No			
5.1	Broadridge Financial Solutions, Inc.	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5.2	Comcast Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.3	Home Depot Inc	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5.4	Honeywell Intl Inc	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5.5	Lockheed Martin	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.6	NextEra Energy Inc	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
5.7	Stryker Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6	TKK Irrevocable Trust (Family Trust)	No			
6.1	U.S. bank account #5 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.2	Abbott Laboratories	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.3	AbbVie Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.4	Apple Inc. - Common Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.5	BlackRock Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.6	Broadridge Financial Solutions Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.7	Cisco Systems, Inc. - Common Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.8	Evercore Partners Inc. Class A Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.9	General Electric Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.10	Goldman Sachs Group Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.11	Home Depot Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.12	Honeywell International Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.13	Intel Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.14	Johnson & Johnson	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.15	Kroger Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.16	Medtronic Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.17	Microsoft Corporation - Common Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.18	NextEra Energy Inc	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
6.19	Novartis AG ADR	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.20	One Gas Inc	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.21	Oracle Corp	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.22	PepsiCo Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.23	PNC Financial Services Group	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.24	Procter & Gamble	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.25	Stryker Corp	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
6.26	Time Warner Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
6.27	Walt Disney Co	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7	CVK Irrevocable Trust (Family Trust)	No			
7.1	Abbott Laboratories	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.2	AbbVie Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.3	Apple Inc. - Common Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.4	BlackRock Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.5	Broadridge Financial Solutions Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.6	Cisco Systems, Inc. - Common Stock	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.7	Coca-Cola Enterprises	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.8	Evercore Partners Inc, Class A	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.9	General Electric Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.10	Goldman Sachs Group Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.11	Home Depot Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.12	Honeywell Intl Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.13	Intel Corporation - Common Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.14	Johnson & Johnson	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.15	Kroger Co	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.16	Medtronic Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.17	Microsoft Corporation - Common Stock	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.18	NextEra Energy Inc	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.19	Novartis AG Sponsored ADR	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.20	One Gas Inc.	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.21	Oracle Corp	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.22	Pepsico Inc.	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.23	PNC Financial Services Group	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.24	Procter & Gamble	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.25	Time Warner Inc	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
7.26	Walt Disney Co	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.27	Stryker Corp	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
7.28	U.S. bank account #6 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8	Family Trust KAK FRT	No			
8.1	Residential real estate, Hilton Head, SC	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$50,001 - \$100,000

7. Transactions

(N/A) - Not required for this type of report

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	UBS Bank	Exercised Line of Credit	\$15,001 - \$50,000	2016	2.60%	Line of Credit - Paid in Full - March 2016

9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

Endnotes

# Summary of Contents

## 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

## 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### **4. Filer's Sources of Compensation Exceeding \$5,000 in a Year**

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### **5. Spouse's Employment Assets & Income and Retirement Accounts**

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in income during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### **6. Other Assets and Income**

Part 6 discloses each asset, not already reported, that (1) ended the reporting period with a value greater than \$1,000 or (2) produced more than \$200 in investment income during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 of income was produced). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

#### **7. Transactions**

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## **8. Liabilities**

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## **9. Gifts and Travel Reimbursements**

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.



## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of three hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).

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